

ADMINISTRATIVE PROCEEDING
BEFORE THE
SECURITIES COMMISSIONER OF SOUTH CAROLINA

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I. PRELIMINARY STATEMENT

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~~Act~~ 2005, S.C. Cd

An§5-1-101,

IV. FINDINGS OF FACT

- 4. On 27, 2020, FINRA in
- 5. On 16, 2020, FINRA in

V. CONCLUSIONS OF LAW

- 6. SEC. CEdAn§5-1-4 12(b), CEdAn
- 7. SEC. CEdAn§5-1-412(d) (5)(C), CEdAn

8. FINRA, a FINRA

9. It is

VI. ORDER

NOW THEREFORE, SEC. CEdAn§5-1-412(b) is ORDERED REVOKED.

cc: [redacted]

[redacted]

[redacted]
HS-100

Assistant Deputy Attorney General