

ADMINISTRATIVE PROCEEDING

BEFORE THE

SECURITIES COMMISSIONER OF SOUTH CAROLINA

IN THE MATTER OF

SUMMARY SUSPENSION OF  
BROKER-DEALER REGISTRATION

DOLEBY SECURITIES, INC.  
CSP # 47091

File Number 15010

Respondent.

The Securities Division of the Office of the  
and directed to administer the provisions of Chapter I, Title 35, Code of Laws of South Carolina, the  
South Carolina Uniform Securities Act of 2003, promulgated thereunder, does hereby notify Respondent that, pursuant to Section 35-1-412(D) of the Act,  
its summary suspension of the registration of Respondent shall remain in effect pending the resolution of the related proceeding.

In accordance with Section 35-1-412(D), NOTICE is hereby given that Respondent may request a  
hearing on the matter at 11549, Rembert C. Dennis Building, Columbia, South Carolina 29211-1549. In the event such a hearing  
is requested, it must be requested in writing within fifteen (15) business days of the date of this Order  
down for hearing. Respondent is hereby notified that it is required to appear at such a hearing to present testimony, evidence and argument relating to the matters contained herein. In the event  
such written notice is not received, this Order remains in effect until it is modified or vacated by the  
Securities Commissioner or his designee.

BE ADVISED THAT, pursuant to Section 35-1-508 of the Act, Respondent may be punishable upon conviction by a fine, imprisonment, or both, in addition to any civil or administrative remedies imposed upon Respondent.

Entered, 10th

SOUTH CAROLINA  
SECURITIES DIVISION

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