

ADMINISTRATIVE PROCEEDING
BEFORE THE

SECURITIES COMMISSIONER OF SOUTH CAROLINA

IN THE MATTER OF:

Glenn A Zingarino.

)
)
)

ORDER TO CEASE AND DESIST

Matter No. 2019426

I. PRELIMINARY STATEMENT

Pursuant to the authority granted to the Securities Commissioner of South Carolina (the

The Respondent's website stated that he offered "solid investment advice and

products to match his clients' needs," as well as other language, which, collectively, suggested that he was associated with LPL as an investment adviser representative.

7. Further, the Respondent's business cards claimed that he offered securities through LPL.

8. The Respondent's website made no mention of the fact that he was not associated with LPL as an investment adviser representative not registered with the Division of

VI. ORDER

~~NOW THEREFORE~~, pursuant to S.C. Code Ann. § 25-1-604(e)(1), it is hereby

ORDERED that:

~~Respondent Zinswing and every successor, affiliate, control person, agent~~

servant, and employee of the Respondent, and every entity owned, operated,

~~or indirectly or directly controlled by or on behalf of the Respondent. CEASE~~

of law.

This Order does not prevent the Division, or any other agency, including, without limitation, civil and criminal law enforcement agencies, from making additional civil or criminal

STATE OF SOUTH CAROLINA
OFFICE OF THE ATTORNEY GENERAL

MEMORANDUM FOR THE ATTORNEY GENERAL
DATE: 10/15/2001
SUBJECT: [Illegible]

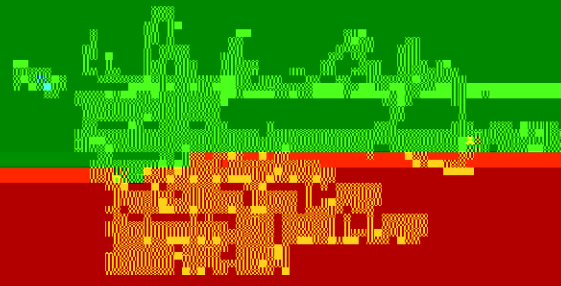
TO: [Illegible]

[Illegible]

Document(s): [Illegible]

Dated: August 22, 2001, from Columbia, South Carolina.

[Illegible]



[Illegible]

SOLELY FOR THE USE OF THE OFFICE OF THE ATTORNEY GENERAL