

ADMINISTRATIVE PROCEEDING
BEFORE THE
SECURITIES COMMISSIONER OF SOUTH CAROLINA

IN THE MATTER OF:

)

ADMINISTRATIVE ORDER.

III. FINDINGS OF FACT

3. Since July of 2011, Pettit has been associated with Windsor Street Capital, LP,

~~CRD No. 24171, a broker-dealer registered with the Division~~

4. On or about June 29, 2017, the Securities Division of the Office of the Attorney

~~General of Maryland ("Maryland Securities") requested that Pettit provide it with information~~

concerning two (2) liens against him (the "Requested Information").

State, revoking, barring, or suspending that person's registration as a broker-dealer agent, is

11 _____

12 _____ The Securities Commission of the State of Maryland revoked the Respondent's

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W. L. WOODRUFF, JR.

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W. L. WOODRUFF, JR.

STATE OF SCOTLAND
OFFICE OF THE ATTORNEY GENERAL
SECURITIES DIVISION

CERTIFICATE OF SERVICE AND
AFFIDAVIT OF

THE

STATE OF SCOTLAND
OFFICE OF THE ATTORNEY GENERAL
SECURITIES DIVISION
1000 BROADWAY
SUITE 1000
SCOTLAND, VIRGINIA 22080

WITNESSETH THAT I, _____
Attorney at Law,
do hereby certify that the foregoing
is a true and correct copy of the

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