

**ADMINISTRATIVE PROCEEDING
BEFORE THE
SECURITIES COMMISSIONER OF SOUTH CAROLINA**

IN THE MATTER OF:

**Lawson Financial Corporation,
CRD No. 15261,**

Respondent.

ADMINISTRATIVE ORDER

Matter No. 17010

WHEREAS Lawson Financial Corporation CRD No. 15261 (the "Respondent") was a

WHEREAS, S.C. Code Ann. § 35-1-412(c) authorizes the Securities Commissioner to issue an order censuring, barring, or imposing a civil penalty in an amount not to exceed \$10,000 for each violation, on a registrant, if the Commissioner finds that the order is in the public interest and subsection (d) of S.C. Code Ann. § 35-1-412 authorizes the action; and

WHEREAS, S.C. Code Ann. § 35-1-412(d)(5)(C) states that a person who:

is the subject of an order, issued after notice and opportunity for hearing [by] the Securities and Exchange Commission or a self-regulatory organization suspending or expelling the registrant from membership in the self-regulatory organization

is subject to discipline pursuant to S.C. Code Ann. §§ 35-1-412(a), (b), and (c); and

WHEREAS, FINRA issued a complaint against Respondent and, after notice and opportunity for hearing, expelled the Respondent from FINRA membership; and

WHEREAS, [REDACTED]

July

1951

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STARS - The State of South Carolina
The Treasurer (1/20/11)

the State of South Carolina and by placing a copy of this document with the Securities Commission for
return receipt requested, first class postage prepaid.

Phonically, etc.

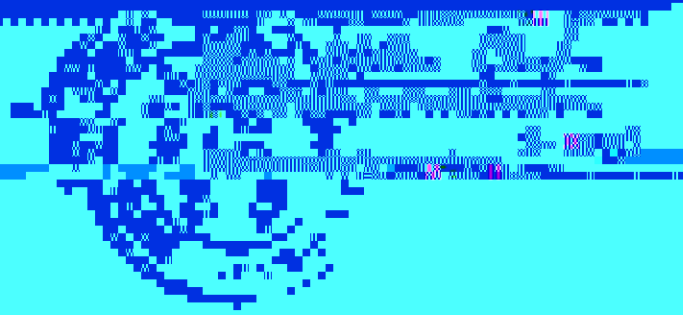
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Phonically, etc.



THE UNIVERSITY OF CHICAGO
DEPARTMENT OF CHEMISTRY
5408 S. UNIVERSITY AVENUE
CHICAGO, ILLINOIS 60637

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TO: THE DIRECTOR, NATIONAL BUREAU OF STANDARDS, WASHINGTON, D. C.

FROM: DR. J. H. GOLDSTEIN, UNIVERSITY OF CHICAGO, CHICAGO, ILLINOIS

RE: [Illegible]

[Illegible]

[Illegible]

[Illegible]

[Illegible]

[Illegible]

