

**ADMINISTRATIVE PROCEEDING  
BEFORE THE  
SECURITIES COMMISSIONER OF SOUTH CAROLINA**

**IN THE MATTER OF:**

**ACAP Financial, Inc.,  
CRD No. 7731,**

**Respondent.**

**ADMINISTRATIVE ORDER**

**Matter No. 17001**

**WHEREAS,** Respondent ACAP Financial, Inc., CRD #7731 (the "Respondent"), was registered with the State of South Carolina as a broker-dealer pursuant to S.C. Code Ann. § 35-1-

406 between March of 1998 and December of 2016, and



ISSUANCE REQUESTED BY

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OFFICE OF THE COMPTROLLER OF PUBLIC ACCOUNTS  
1500 BROADWAY, SUITE 1200  
COLUMBIA, SOUTH CAROLINA 29201-1000

COMPTROLLER OF PUBLIC ACCOUNTS  
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1500 Broadway, Columbia, SC 29201-1000

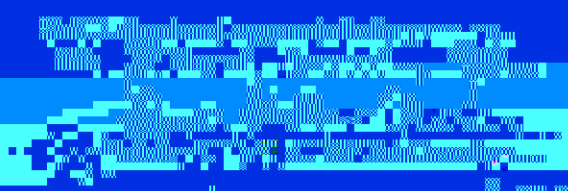
STATE OF SOUTH CAROLINA  
OFFICE OF THE COMPTROLLER OF PUBLIC ACCOUNTS  
1500 BROADWAY, SUITE 1200  
COLUMBIA, SOUTH CAROLINA 29201-1000

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THE UNIVERSITY OF CHICAGO  
DEPARTMENT OF CHEMISTRY  
5780 SOUTH CAMPUS DRIVE  
CHICAGO, ILLINOIS 60637