

ADMINISTRATIVE PROCEEDING
BEFORE THE
SECURITIES COMMISSIONER OF SOUTH CAROLINA

IN THE MATTER OF:

)
)

the Commissioner finds that the order is in the public interest and subsection (d) of S.C. Code Ann. § 35-1-412 authorizes the action; and

~~WHEREAS, S.C. Code Ann. § 35-1-412(e) authorizes the Securities Commissioner to~~

issue an order censuring, barring, or imposing a civil penalty in an amount not to exceed \$10,000 for each violation, on a registrant, if the Commissioner finds that the order is in the public interest and subsection (d) of S.C. Code Ann. § 35-1-412 authorizes the action; and

WHEREAS, S.C. Code Ann. § 35-1-412(d)(5)(C) states that a person who is the subject of an order issued after notice and opportunity for hearing [h] the Securities and Exchange

IT IS

the responsibility of the Respondent to ensure that the information provided is accurate and complete. The Respondent is responsible for the accuracy and completeness of the information provided. The Respondent is responsible for the accuracy and completeness of the information provided.



THE INFORMATION CONTAINED HEREIN IS UNCLASSIFIED

DATE 10/10/2013 BY 60322 UCBAW/STP

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STATE OF SOUTH CAROLINA
OFFICE OF THE ATTORNEY GENERAL
SECURITIES DIVISION

CERTIFICATE OF REGISTRATION

STATE OF SOUTH CAROLINA
OFFICE OF THE ATTORNEY GENERAL
SECURITIES DIVISION

Registration Number

Effective Date

Expiration Date

Registration Fee

Annual Fee

Net Capital

Net Worth

Assets

Liabilities

Equity

Income

Expenses

Profit

Loss

Section 35-6-61, Code

Section 35-6-62, Code

Section 35-6-63, Code

Section 35-6-64, Code

Section 35-6-65, Code

Section 35-6-66, Code

Section 35-6-67, Code

Section 35-6-68, Code

Section 35-6-69, Code

Section 35-6-70, Code

Section 35-6-71, Code

Section 35-6-72, Code

Section 35-6-73, Code

Section 35-6-74, Code

Section 35-6-75, Code

Section 35-6-76, Code

Section 35-6-77, Code

Section 35-6-78, Code

Section 35-6-79, Code

Section 35-6-80, Code

Section 35-6-81, Code

Section 35-6-82, Code

Section 35-6-83, Code

Section 35-6-84, Code

Section 35-6-85, Code

GENERAL INFORMATION
12/20/2011 10:00:00 AM

DATE: 12/20/2011
TIME: 10:00:00 AM
USER: [unclear]

Dear Sir/Madam,
I am writing to you regarding the information provided in your letter of 12/15/2011. I have reviewed the information and I am sorry to hear that you are having difficulty with the system. I will be happy to assist you in any way I can. Please let me know if there is anything else I can do for you.

Very truly yours,
[unclear]
[unclear]

cc: [unclear]
[unclear]

Thank you for your patience and understanding.