

SECURITIES COMMISSIONER OF SOUTH CAROLINA

IN THE MATTER OF:

**Henry Al Dean Watson,
CRD No. 1326969,**

Respondent

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**ADMINISTRATIVE ORDER:
PERMANENT BAR**

Matter No. 17024

WHEREAS Henry Al Dean Watson, Respondent, is a member of the Securities

III. FINDINGS OF FACT

3. Between 2012 and 2016, Watson was associated with J.J.B Hilliard, W.L. Lyons, LLC, CRD No. 453 ("Hilliard Lyons"), a broker-dealer registered with the Division.

4. Hilliard Lyons is a member of the Financial Industry Regulatory Authority ("FINRA").

5. On January 17, 2017, under Disciplinary Proceeding No. 2015047961601, the Respondent agreed to be barred from associating with any FINRA member in any capacity in the future.

IV. CONCLUSIONS OF LAW

6. The South Carolina Uniform Securities Act of 2005, S.C. Code Ann. § 35-1-101,

7. Pursuant to S.C. Code Ann. § 35-1-412(c), the Securities Commissioner may issue an order censuring, barring, or imposing a civil penalty in an amount not to exceed \$10,000 for each violation, on a registrant, if the Commissioner finds that the order is in the public interest and subsection (d) of S.C. Code Ann. § 35-1-412 authorizes the action

V. ORDER

NOW THEREFORE [REDACTED]

ORDERED that the Respondent is permanently barred from the securities business in the State of South Carolina; and

IT IS FURTHER ORDERED that the Respondent's failure to file a written answer,

[REDACTED]

SECURITIES DIVISION

CERTIFICATE OF SERVICE AND
AFFIDAVIT OF COMPLIANCE
File Number 20172047

I hereby certify that I served upon the individual/entity listed below a copy of the document indicated