

ADMINISTRATIVE PROCEEDING
BEFORE THE

SECRETARY OF DEFENSE

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related administrative

are the same file number 1691601

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Administrative Channel
Resolution Division

CONFIDENTIAL AND UNCLASSIFIED

NOTICE AND OPPORTUNITY FOR A HEARING

Pursuant to S.C. Code Ann. § 35-1-412(f), Respondent is hereby given notice that it may request a hearing on the summary suspension by giving written notice to Thresechia Navarro, Post Office Box 1540, Bankert C. Dennis Building, Columbia, South Carolina 29211-1540. In

the event such a hearing is requested, within fifteen (15) business days after the receipt of a written request the matter will be set down for hearing. In the event such written notice is not received, the attached Summary Suspension remains in effect pending resolution of the related proceeding unless it is modified or vacated in accordance with the Act.

**ADMINISTRATIVE PROCEEDING
BEFORE THE
SECURITIES COMMISSIONER OF SOUTH CAROLINA**

IN THE MATTER OF:)
)
HALCYON CABOT)
PARTNERS, LTD.)

**RULE TO SHOW CAUSE
File Number 16010**

Respondent.)

~~WHEREAS Respondent Halcyon Cabot Partners Ltd. (the "Respondent") CDD~~

#32664, at all times material herein, was registered with the State of South Carolina as a broker-dealer pursuant to S.C. Code Ann. § 35-1-406; and

~~WHEREAS the Financial Industry Regulatory Authority ("FINRA") is a self-regulatory~~

11.11.2017

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ISSUANCE REQUESTED BY:

John F. Thomas

10/11/88
10/11/88
10/11/88

STATE OF SOUTH CAROLINA
OFFICE OF THE COMPTROLLER GENERAL

STATE OF SOUTH CAROLINA
AFFIDAVIT OF COMPLIANCE
File Number: 11-00000

STATE OF SOUTH CAROLINA
COUNTY OF _____

STATE OF SOUTH CAROLINA
COUNTY OF _____
