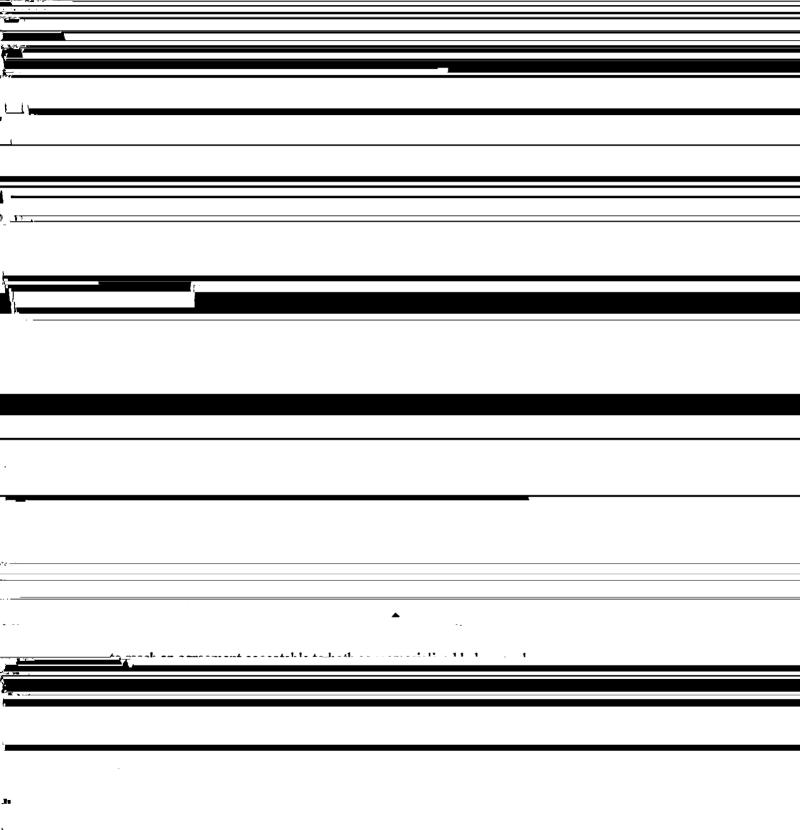
ADMINISTRATIVE PROCEEDING

BEFORE THE

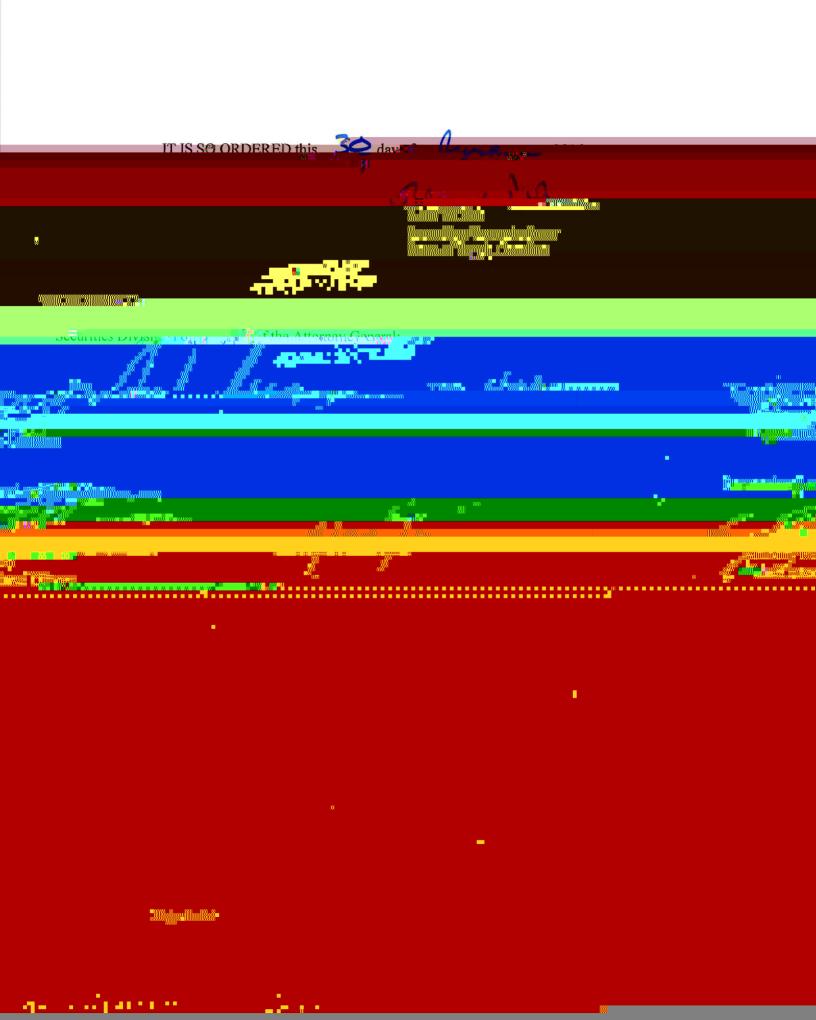
SECURITIES COMMISSIONER OF SOUTH CAROLINA
IN THE MATTER OF:) CONSENT ORDER LEGEND SECURITIES, INC., CRD # 44952) Respondent.) Respondent.
WHEREAS, the Securities Division of the Office of the Attorney General of the State of
South Carolina (the "Division") has been authorized and directed by the Securities
Commissioner of South Carolina (the "Securities Commissioner") to administer the provisions of
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S.C. Code Ann. § 35-1-101, et seq., the South Carolina Uniform Securities Act of 2005 (the "Act"); and WHEREAS, the Division received information regarding securities-related activities of
Legend Securities, Inc. ("Legend" or the "Respondent"); and
WHEREAS, based on the information received from the Respondent, the Division
determined it was necessary and appropriate to open an investigation pursuant to S.C. Code Ann.
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WHEREAS, the Respondent indicated its desire to resolve this matter by Consent Order



	including but not limited to commissions, account closing fees, and any of the
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	unreasonable fees identified in the Administrative Order;
	G. The Respondent will notify the Division immediately upon the close or transfer of the last
	South Carolina resident account;
	H. Thirty (30) days from the date of this Order, the Respondent will provide the Division a
	report providing the name and contact information of any remaining South Carolina
	resident accounts held at the Respondent as of that date;
	I. The Respondent's registration in South Carolina as a broker-dealer will terminate after
	the close or transfer of the last South Carolina resident account or sixty (60) days after the
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S.C. ATTORNEY GENERAL'S OFFICE

JUN 3 0 2018

SECURITIES DIVISION