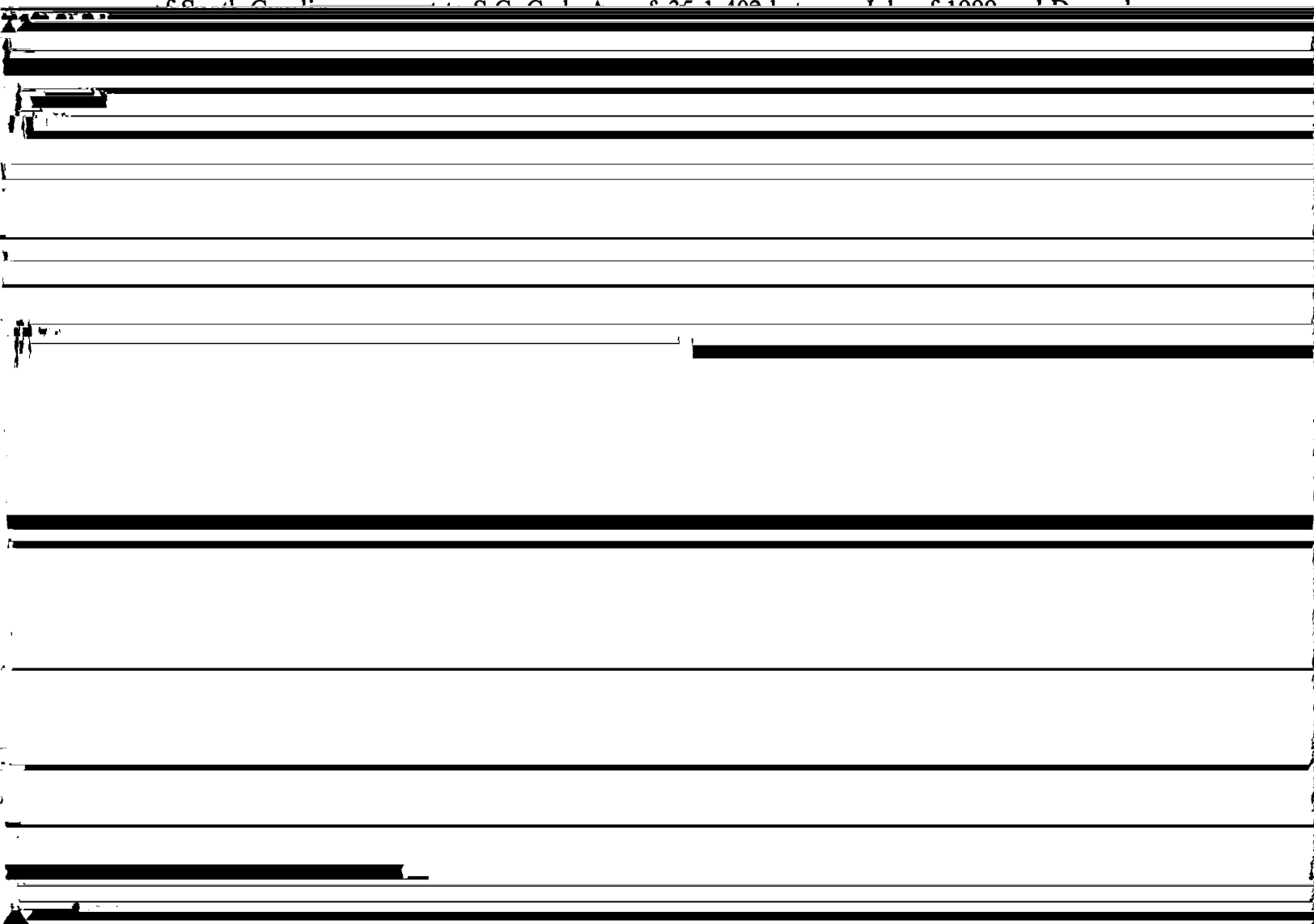


**ADMINISTRATIVE PROCEEDING  
BEFORE THE  
SECURITIES COMMISSIONER OF SOUTH CAROLINA**

**IN THE MATTER OF:** )  
 )  
**Richard Eugene Whitley,** )  
**CRD No. 1029728,** )  
 )  
**Respondent.** )  
\_\_\_\_\_ )

**ADMINISTRATIVE ORDER**  
**Matter No. 15072**

**WHEREAS,** Richard Eugene Whitley, CRD No. 1029728 (the "Respondent"), was a broker-dealer agent registered with the Securities Division of the Office of the Attorney General



the Commissioner finds that the order is in the public interest and subsection (d) of S.C. Code Ann. § 35-1-412 authorizes the action; and

**WHEREAS**, S.C. Code Ann. § 35-1-412(c) authorizes the Securities Commissioner to  
~~issue an order censuring, barring, or imposing a civil penalty in an amount not to exceed \$10,000~~

for each violation, on a registrant, if the Commissioner finds that the order is in the public  
~~interest and subsection (d) of S.C. Code Ann. § 35-1-412 authorizes the action; and~~

...the... ..

"... .."

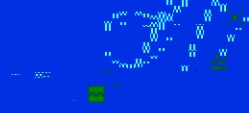
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... ..

Deputy Security...

ISSUE...



... ..

STATE OF SOUTH CAROLINA  
OFFICE OF THE ATTORNEY GENERAL  
SECURITIES DIVISION

ARTICLE OF ASSOCIATION AND  
STATEMENT OF COMPLIANCE

800

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SECURITIES DIVISION



UNITED STATES DEPARTMENT OF JUSTICE  
FEDERAL BUREAU OF INVESTIGATION  
WASHINGTON, D. C. 20535

MEMORANDUM FOR THE DIRECTOR  
DATE: 11/15/77  
SUBJECT: [Illegible]

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[Illegible text]

[Illegible text]

[Illegible text]

[Illegible text]

[Illegible text]

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