ADMINISTRATIVE PROCEEDING BEFORE THE
SECURITIES COMMISSIONER OF SOUTH CAROLINA

IN THE MATTER OF:

Cape Securities, Inc.
CRD #7072,

Respondent.

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SUMMARY SUSPENSION

File Number: 13069

WHEREAS, the Securities Division of the Office of the Attorney General of the State of

South Carolina has been authorized and directed by the Securities Commissioner of South

Carolina (the "Securities Commissioner") to administer the provisions of S.C. Code Ann. § 35-

1-101, et seq., the South Carolina Uniform Securities Act of2005 (the "Act"); and

WHEREAS, pursuant to S.C. Code Ann. § 35-1-412(1), the Securities Commissioner

may summarily suspend a respondent's registration before the final determination of an

administrative proceeding;

NOW THEREFORE, upon due consideration of the subject matter contained in a

related administrative proceeding under the Act having the san1e file number, 13069, and

NOTICE AND OPPURTUNITY FOR A HEARING

Pursuant to S.C. Code Ann.§ 35-1-412(£), Respondent is hereby given notice that it may

request a hearing on the summary suspension by giving written notice to Thresechia Navarro,

Post Office Box 11549, Rembert C. Dennis Building, Columbia, South Carolina 29211-1549. In

the event such a hearing is requested, within fifteen (15) business days after the receipt of a

written request the matter will be set down for hearing. In the event such written notice is not

received, this Order remains in effect until it is modified or vacated in accordance with the Act.

ENTERED, this the'~1it~ay of August, 2013.
Columbia, South Carolina

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