ADMINISTRATIVE PROCEEDING

BEFORE THE

SECURITIES COMMISSIONER OJ<' SOUTH CAROLINA

IN THE MATTER OF:

DOLEY SECURITIES, LLC
CRD #7081

Respondent.

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ORDER REVOKING
REGISTRATION BY
DEFAULT

File Number 13048

The Securities Division of the Office of the Attorney General of the State of

2012), instituted this

proceeding by filing a Rule to Show Cause ("Rule to Show Cause") against Doley Securities,

LLC ("Doley" or "Respondent"), ordering Respondent to show cause why an order should not be

issued against it, revoking Respondent's broker-dealer registration in the State of South Carolina.

In the Rule to Show Cause, the Division alleged that the Financial Industry Regulatory

Authority ("FINRA"), a self-regulatory organization, expelled the Respondent from membership.

The Rule to Show Cause notified the Respondent of the Division's intent to seek seeknSh.C.

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three unsuccessful attempts to deliver the Rule to Show Cause on or around June 10, 14, and 24, 2013.

As a state registered broker-dealer, the Respondent provided the Division with its mailing address and is under a continuing obligation to update its registration information including the mailing address as changes occur.
By failing to respond to the Rule to Show Cause, or alternatively failing to update its address of record