

**ADMINISTRATIVE PROCEEDING
BEFORE THE
SECURITIES COMMISSIONER OF SOUTH CAROLINA**

IN THE MATTER OF:)
)
KBR CAPITAL MARKETS,)
LLC)

RULE TO SHOW CAUSE

RESPONDENT)
)
_____)

File Number 14077

WHEREAS, Respondent KBR Capital Markets, LLC, (“Respondent” or “KBR”) CRD #128800, at all times material herein, was and currently is registered with the State of South

Carolina as a broker-dealer pursuant to S.C.C. 1-11-205 and 1-11-206.

WHEREAS, § 35-1-412(b) authorizes the Securities Commissioner to issue an order

revoking, suspending, conditioning, or limiting the registration of a registrant if the Commissioner finds that the order is in the public interest and subsection (d) of § 35-1-412 authorizes the action; and

WHEREAS, § 35-1-412(c) authorizes the Securities Commissioner to issue an order

censuring, barring, or imposing a civil penalty in an amount not to exceed \$10,000 for each violation, on a registrant, if the Commissioner finds that the order is in the public interest and subsection (d) of § 35-1-412 authorizes the action; and

WHEREAS, § 35-1-412(d)(5)(C) of the Securities Act states that a person who:

is the subject of an order, issued after notice and opportunity for hearing

ACCORDINGLY. IT IS HEREBY ORDERED that Respondent show cause why an order should not be issued against Respondent, revoking Respondent's broker-dealer registration and barring Respondent from the securities and investment advisory business in the State of South Carolina; and

IT IS FURTHER ORDERED that Respondent's failure to file a written answer,

to Show Cause, shall be deemed a waiver by Respondent of the right to a hearing and result in the entry of a Final Order revoking Respondent's broker-dealer registration in the State of South Carolina and barring Respondent from the securities and investment advisory business in the

STATE OF SOUTH CAROLINA
OFFICE OF THE ATTORNEY GENERAL

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swear and affirm that, service of the above named entity is in
Section 35-1-611, Code of Laws of South Carolina