

STATE OF SOUTH CAROLINA
OFFICE OF THE ATTORNEY GENERAL
SECURITIES DIVISION

CERTIFICATE OF SERVICE AND
AFFIDAVIT OF COMPLIANCE
File Number 13026


I hereby certify that I served upon the [redacted] below and dated March 11, 2014, by [redacted] a copy of said document upon [redacted] Secretary, Commission of the State of South Carolina and by placing a copy of said document in the United States mail, certified mail, return receipt requested.

Claude D. [redacted]
6866 Fairview Road
Suite 1425
Charlotte, NC 28210

Document(s): [redacted] Case and Deist

Mailed March 11, 2014 from [redacted] South Carolina.

I further hereby certify, swear and affirm that, service of the above [redacted] Section 35-1-611, Code of Laws of South Carolina.

By: 
Thereschia P. Navarro
South Carolina Attorney General
Securities Division
Post Office Box 11549
Columbia, SC 29211-1549
(803) 723-4423

Subscribed and sworn to before me on
this 11 day of March, 2014.


Notary Public for South Carolina

My commission expires [redacted]

STATE OF SOUTH CAROLINA
OFFICE OF THE ATTORNEY GENERAL
SECURITIES DIVISION

CERTIFICATE OF SERVICE AND
AFFIDAVIT OF COMPLIANCE
File Number 13036

I hereby certify that I served upon the individual or entity listed below a copy of the document or documents below and dated March 11, 2014 from Columbia, South Carolina and by placing a copy of said document in the United States mail, certified mail, return receipt requested, first class postage.


US Financial Alliance Consultants, LLC
5401 South Boulevard
Suite 166
Charlotte, NC 28210

Document(s): Order to Cease and Desist


Mailed March 11, 2014 from Columbia, South Carolina

I further hereby swear and affirm that service was made in accordance with Section 35-1-617, Code of Laws of the State of South Carolina.

By:


Thresechia P. Navarro
South Carolina Attorney
Securities Division
Post Office Box 11549
Columbia, South Carolina 29208-1549
(803) 734-4731

Subscribed and sworn to before me on


Notary Public for South Carolina

My commission expires: 7/7/14