

ADMINISTRATIVE PROCEEDING
BEFORE THE

SECURITIES COMMISSIONER OF SOUTH CAROLINA

IN THE MATTER OF:)
ALETHEIA SECURITIES, INC.)
CRD # 14784)

ORDER REVOKING REGISTRATION
BY DEFAULT

RESPONDENT)

File Number 14075

The Securities Division of the Office of the Attorney General of the State of South
Carolina (the "Division") under the authority of the South Carolina Uniform Securities Act of

2005 (the "Act"), S.C. Code Ann. §§ 35-1-101 to 35-1-703 (Supp. 2012), instituted this
proceeding by filing a Rule to Show Cause ("Rule to Show Cause") against Aletheia Securities,

September 21, 2014. The United States Postal Service returned the Rule to Show Cause as undeliverable.

As a state registered broker-dealer, Respondent provided the Division with its mailing address as follows under

the Rule to Show Cause to go into default. By allowing the Rule to Show Cause to go into default, Respondent was opened itself up to the remedy sought in the Rule to Show Cause.

pursuant to S.C. Code Ann. § 35-1-412(d), Respondent's delinquent status is hereby suspended and Respondent is permanently barred from re-examination. The above information is not to be disseminated.

Post Office Box 11549,
Columbia, SC 29211