ADMINISTRATIVE PROCEEDING BEFORE THE
SECURITIES COMMISSIONER OF SOUTH CAROLINA

IN THE MATTER OF:

TRACY L. NEILY (a/k/a Tracy Atwell) and PROFESSIONAL PLANNING
OF EASLEY, LLC.,

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CONSENT ORDER

FILE NO. 12041

WHEREAS, the Securities Division of the South Carolina Office of the Attorney General (the "Division"), pursuant to authority granted in the South Carolina Uniform Securities Act of 2005, S.C. Code Ann.§ 35-1-10 et. seq. (the "Act"), initiated an investigation into the securities-related activities of Tracy L. Neily and Professional Planning of Easley, LLC (collectively, "Respondents") conducted in and from South Carolina; and
WHEREAS, on or about October 29, 2012, the Division issued a Complaint alleging the Respondents engaged in acts, practices, and transactions constituting violations of the Act; and WHEREAS, the Complain! seeks to have the Respondents permanently barred from engaging
in the securities industry in or from the State of South Carolina; and
WHEREAS, the Complaint seeks imposition of civil penalties on each Respondent in an amount of ten thousand dollars ($10,000.00) for each violation of the Act and each violation of any rule or order promulgated by the Securities Commissioner; and
WHEREAS, Respondents, without admitting or denying the factual allegations of the Complaint, acknowledge their desire to resolve the Complaint by Consent Order rather than by a formal hearing before the Securities Commissioner (the "Commissioner"); and

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WHEREAS, Respondents understand they have a right to a hearing on the Complaint and have waived that right; and
WHEREAS, Respondents admit the Securities Commissioner has jurisdiction in this matter:
and

WHEREAS, the Commissioner finds the investor protections and remedies in this Consent Order both appropriate and in the public interest, for the protection of the investors and the capital markets of the State of South Carolina;
NOW, THEREFORE, IT IS HEREBY ORDERED, and Respondents expressly consent and agree that:
A. Effective immediately, Respondent Tracy L. Neily is permanently barred from participating
in any aspect of the securities industry in or from the State of South Carolina; and
B. Effective immediately, Respondent Tracy L. Neily is permanently barred from allowing
any person under her direction or control to paiticipate in any aspect of the securities industry in or from the State of

The patties to this Order agree the Order does not ai1d should not be interpreted to waive any (i) criminal cause of action, (ii) private cause of action that may have accrued to any investor or investors as a result of Respondents' participation in the activities described in the Complaint in Administrative Proceeding 12041, (iii) action of any kind in any type of bankruptcy proceeding(s), or (iv) other causes of action which may result from any activity of Respondents not detailed above or which may hereafter arise.

IT IS SO ORDERED this 1 DI_ day of DtJ~~-· ~' 2013.

By: 00@/l,LJ {;J cQ~ Alan Wilson

Securities Commissioner
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